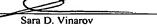
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date set forth below as First Class Mail in an envelope addressed to: Commissioner for Patents, P O Box 1450, Alexandria, VA 22313-1450

Date of Signature and Deposit: April 9, 2004



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Rui Sousa Date: April 9, 2004

Serial No.: 10/689,495 Art Unit:

Filed: 10/20/2003 Examiner:

For: METHODS FOR USING DOUBLE-MUTANT Docket: 310307.90240

RNA POLYMERASES WITH REDUCED
DISCRIMINATION BETWEEN NON-CANONICAL
AND CANONICAL NUCLEOSIDE TRIPHOSPHATES

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P O Box 1450 Alexandria, VA 22313-1450

Dear Sir:

APR 1 2 2004

Enclosed is a completed Form PTO-1449 listing documents which the applicant in the above-identified application wishes to bring to the attention of the Examiner for consideration in connection with the examination on the merits of this application.

This application is a continuation-in-part of U.S. Patent Application Serial No 10/404,726, filed April 1, 2003 which claims priority from U.S. Serial No. 09/965,346, filed September 27, 2001, which is a continuation of 09/643,189 filed August 21, 2000, which is a continuation of U.S. Serial No. 09/100,803, (U.S. Patent No. 6,107,037) filed June 19, 1998, which is a divisional of U.S. Serial No. 08/713,331 (U.S. Patent No. 5,849,546), filed September 13, 1996.

Applicant hereby assert that in each of the above cross-referenced related applications, the requisite Information Disclosure Statements and U.S. PTO 1449 forms were timely filed. Applicant notes that under 37 C.F.R. § 1.98(d), copies of documents that were earlier cited by or submitted to the Office in a prior application that is relied on for an earlier effective filing date do not have to be re-submitted. Accordingly, applicant has enclosed herewith only copies of the Information Disclosure Statements accompanied by the relevant

PTO-1449 forms that were previously submitted to the USPTO, in each of the above-identified cross-reference applications. Copies of the previously cited publications are not included herein.

In addition, applicant has enclosed herewith, a current Form PTO-1449 along with copies of the documents listed therein, which were not previously submitted to the Office.

No fee is believed due in connection with this submission. However, should any fee be due, please charge the fee to Deposit Account No. 17-0055.

Respectfully submitted,

Sara D. Vinarov Reg. No. 48,524 Quarles & Brady LLP P O Box 2113

Madison, WI 53701-2113

TEL (608)251-5000 FAX (608)251-9166



EXPRESS MAIL LABEL NO. EV161876709US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Continuation Of:

Applicants:

Rui Sousa, et al.

Serial No.:

09/965,346

Filed:

September 27, 2001

For:

METHODS FOR USING MUTANT RNA

POLYMERASES WITH REDUCED

DISCRIMINATION BETWEEN NON-CANONICAL

AND CANONICAL NUCLEOSIDE

TRIPHOSPHATES

Group Art Unit:

1634

Examiner:

A. Chakrabarti

Commissioner For Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. 1.98, enclosed herewith is a list of documents which the Applicants in the above-identified patent application wish to bring to the attention of the Examiner for consideration in connection with the examination on the merits of this patent application.

The documents in this Information Disclosure

Statement were cited in application Serial No.

09/965,346, filed on September 27, 2001. Therefore,

Applicants have not enclosed an extra copy of these documents.

U.S. Patents

4,683,195; Mullis, et al.; July 28, 1987.

Other Documents

"Probe Amplifier System Based on Chimeric Cycling Oligonucleotides," Biotechniques 9(2):142-146, 1990.

- W.M. Barnes, et al., "DNA Sequencing by Partial Ribosubstitution," J. Mol. Biol. 119:83-99, 1978.
- E.T. Butler and M.J. Chamberlin, "Bacteriophage SP6-specific RNA Polymerase," <u>J. Biol. Chem.</u> 257(10):5772-5778, 1982.
- C. Cazenave, <u>et al</u>., "RNA Template-directed RNA Synthesis by T7 RNA Polymerase," PNAS 91:6972-6976, 1994.
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- H. Kotani, et al., "Nucleotide Sequence and Expression of the Cloned Gene of Bacteriophage SP6 RNA Polymerase," Nucl. Acids Res. 15(6):2653-2664, 1987.

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E. Uhlman, <u>et al</u>., "Antisense Oligonucleotides: A New Therapeutic Principle," <u>Chem. Rev.</u> 90:543-593, 1990.

A. Wolfgang, et al., "Kinetic Characterization of Ribonuclease-resistant 2'-modified Hammerhead Ribozymes,"

Science 253:314-317, 1991.

No fees are believed necessary to enter this Statement. However, if any fees are necessary please charge Deposit Account 17-0055.

Respectfully submitted,
Rui Sousa, et al.

April 1, 2003

By:
Jean C. Baker
Reg. No. 35,433
Quarles & Brady LLP
411 East Wisconsin Avenue
Milwaukee, WI 53202-4497
(414) 277-5709



PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
A collection of information unless it contains a valid OMB control number.

r the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitu	ite for form 1449A/PTO)		Complete If Known				
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INFORMATION DISCLOSURE			SCLOSURE	Filing Date	April 1, 2003	-		
STA	STATEMENT BY APPLICANT			First Named Inventor	Rui Sousa			
(use as many sheets as necessary)				Group Art Unit				
			s necessary)	Examiner Name				
Sheet	1	of	2	Attorney Docket Number	310307.90061			

				U.S. PATENT DOCI	JMENTS			
Examiner Initials*	Cite No.1	U.S. Pater Number	Kind Code ²	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
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Examiner	Date	
Signature	Considered	

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



PTO/SB/08B (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Complete If Known				
				Application Number				
			ISCLOSURE	Filing Date	April 1, 2003			
			APPLICANT	First Named Inventor	Rui Sousa			
•	OTATEMENT BY ALL EIGHT			Group Art Unit				
(use as many sheets as necessary)			s as necessary)	Examiner Name		_		
Sheet	2	of	2	Attorney Docket Number	310307.90061			

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. "Probe Amplifier System Based on Chimeric Cycling Oligonucleotides," Biotechniques 9(2):142-146, 1990. W.M. Barnes, et al., "DNA Sequencing by Partial Ribosubstitution," J. Mol. Biol. 119:83-99, 1978. E.T. Butler and M.J. Chamberlin, "Bacteriophage SP6-specific RNA Polymerase," J. Biol. Chem. 257(10):5772-5778, 1982.
9(2):142-146, 1990. W.M. Barnes, et al., "DNA Sequencing by Partial Ribosubstitution," J. Mol. Biol. 119:83-99, 1978. E.T. Butler and M.J. Chamberlin, "Bacteriophage SP6-specific RNA Polymerase," J.
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E. Uhlman, et al., "Antisense Oligonucleotides: A New Therapeutic Principle," Chem. Rev. 90:543-593, 1990.
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1	Examiner	Date	
	Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.



PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known FEE TRANSMITTAL 10/689,495 **Application Number** Filing Date 10/20/2003 for FY 2004 Rui Sousa First Named Inventor Effective 10/01/2003. Patent fees are subject to annual revision. **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 Art Unit (\$) 0.00 **TOTAL AMOUNT OF PAYMENT** 310307.90240 Attorney Docket No.

Attorney Bocket No. 6 16601.562 16							
METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)	FEE CALCULATION (continued)					
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The Director is authorized to: (check all that apply)	1053 130 1053 130 Non-English specification						
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Charge any additional fee(s) or any underpayment of fee(s)	1804 920* 1804 920* Requesting publication of SIR prior to Examiner action						
Charge fee(s) indicated below, except for the filing fee	1805 1,840* 1805 1,840* Requesting publication of SIR after						
to the above-identified deposit account.	Examiner action						
FEE CALCULATION	1251 110 2251 55 Extension for reply within first month						
1. BASIC FILING FEE	1252 420 2252 210 Extension for reply within second month						
Large Entity Small Entity	1253 950 2253 475 Extension for reply within third month						
Fee Fee Fee Fee Fee Description Fee Paid Code (\$)	1254 1,480 2254 740 Extension for reply within fourth month						
1001 770 2001 385 Utility filing fee	1255 2,010 2255 1,005 Extension for reply within fifth month						
1002 340 2002 170 Design filing fee	1401 330 2401 165 Notice of Appeal						
1003 530 2003 265 Plant filing fee	1402 330 2402 165 Filing a brief in support of an appeal						
1004 770 2004 385 Reissue filing fee	1403 290 2403 145 Request for oral hearing						
1005 160 2005 80 Provisional filing fee	1451 1,510 1451 1,510 Petition to institute a public use proceeding						
SUBTOTAL (1) (\$) 0.00	1452 110 2452 55 Petition to revive - unavoidable						
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2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	JE 1501 1,330 2501 665 Utility issue fee (or reissue)						
Extra Claims below Fee Paid	id 1502 480 2502 240 Design issue fee						
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SUBMITTED BY				(Complete (if applicable	9))	
Name (Print/Type)	Sara D Vinarov	Registration No. (Attorney/Agent)	48,524	Telephone	608/25	1-5000	
Signature				Date	April 4	. 2004	

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De Included on this form. Provide credit card information and authorization on P10-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



I hereby certify that this correspondence is being deposited with the United States Postal Services on the date set forth below as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Signature and Deposit:__

Attorney of Record

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Divisional Application Of:

Applicants:

Rui Sousa, et al.

Serial No.:

08/713,331

Filed:

September 13, 1996

Title:

METHODS FOR USING MUTANT RNA

POLYMERASES WITH REDUCED

DISCRIMINATION BETWEEN NON-CANONICAL

AND CANONICAL NUCLEOSIDE

TRIPHOSPHATES

Art Unit: Examiner:

1809

A. Nelson

Assistant Commissioner for Patents

Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir: -

Pursuant to 37 C.F.R. 1.98, Applicants in the above-identified patent application wish to bring to the attention of the Examiner for consideration in connection with the examination on the merits of this patent application. As this information duplicates information presented in related case Serial No. 08/713,331, Applicants have not provided copies of the documents.

U.S. Patents

Mullis, et al.; 4,683,195; July 28, 1987.

Other Document

"Probe Amplifier System Based on Chimeric Cycling Oligonucleotides," <u>Biotechniques</u> 9(2):142-146, 1990.

- W.M. Barnes, "DNA Sequencing by Partial Ribosubstitution," J. Mol. Biol. 119:83-99, 1978.
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- R. Sousa and R. Padilla, "A mutant T7 RNA polymerase as a DNA polymerase," <u>EMBO J.</u> 14(18):4609-4621, 1995.
- E. Uhlmann, et al., "Antisense oligonucleotides: A new therapeutic principle," Chem. Rev. 90:543-593, 1990.

A. Wolfgang, et al., "Kinetic characterization of ribonuclease-resistant 2'-modified hammerhead ribozymes,"

Science 253:314-317, 1991.

No fees are believed necessary to enter this statement. However, if any fees are necessary please charge Deposit Account 17-0055.

Respectfully submitted,

Rui Sousa, et al.

June 19, 1998

3y:____

Jean C. Baker

QUARLES & BRADY 411 East Wisconsin Avenue

Milwaukee, WI 53202 Reg. No.: 35,433

(414) 277-5709

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Form PTO-1449 (Rev. 2-88)	A TOP IS THE STATE OF THE STATE	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 310307.90061 APPLICATION NO. Divisional of 08/713,331

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Rui Sousa, et al.

(Use several sheets if necessary)

FILING DATE September 13, 1996 GROUP 1809

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Form PTO-1449 (Rev. 2-88)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY, DOCKET NO. 310307.90061

APPLICATION NO.
Divisional of
08/713,331

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Rui Sousa, et al.

FILING DATE Sentember 13.

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EXAMINER: Initial if a citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Rui Sousa, et al.

Serial No.:

).:

Filed:

Herewith

Title:

METHODS FOR USING MUTANT RNA POLYMERASES WITH

REDUCED DISCRIMINATION BETWEEN NON-CANONICAL

AND CANONICAL NUCLEOSIDE TRIPHOSPHATES

Art Unit:

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Examiner:

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Attorney Docket:

310307.90061

Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

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Other Documents

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W.M. Barnes, "DNA Sequencing by Partial Ribosubstitution," <u>J. Mol. Biol.</u> 119:83-99, 1978.

E.T. Butler and M.J. Chamberlin, "Bacteriophage SP6-specific RNA Polymerase," <u>J. Biol. Chem.</u> 257(10):5772-5778, 1982.

- C. Cazenave, et al., "RNA template-directed RNA synthesis by T7 RNA polymerase," PNAS 91:6972-6976, 1994.
- D.H. Jones and B.H. Howard, "A Rapid Method for Recombination and Site-Specific Mutagenesis by Placing Homologous Ends on DNA Using Polymerase Chain Reaction,"

 <u>Biotechniques</u> 10(1):62-66, 1991.
- G.A. Kassavetis, et al., "Bacteriophage SP6-specific RNA Polymerase," J. Biol. Chem. 257(10)5779-5788, 1982.
- D.A. Kostyuk, et al., "Mutants of T7 RNA polymerase that are able to synthesize both RNA and DNA," FEBS Letters 369:165-168, 1995.
- H. Kotani, et al., "Nucleotide sequence and expression of the cloned gene of bacteriophage SP6 RNA polymerase," <u>Nucl. Acids Res.</u> 15(6):2653-2664, 1987.
- R. Sousa and R. Padilla, "A mutant T7 RNA polymerase as a DNA polymerase," EMBO J. 14(18):4609-4621, 1995.
- E. Uhlmann, et al., "Antisense oligonucleotides: A new therapeutic principle," Chem. Rev. 90:543-593, 1990.
- A. Wolfgang, et al., "Kinetic characterization of ribonuclease-resistant 2'-modified hammerhead ribozymes," Science 253:314-317, 1991.

Kostyuk et al., "Mutants of T7 RNA polymerase that are able to synthesize both RNA and DNA", <u>FEBS Letters</u>, Vol. 369, pages 165-168, 1995.

Sousa et al., "A mutant T7 RNA polymerase as a DNA polymerase", <u>The EMBO Journal</u>, Vol. 14, No. 18, pages 4609-4621, 1995.

No fees are believed necessary to enter this statement. However, if any fees are necessary please charge Deposit Account 17-0055.

Respectfully submitted,

Rui Sousa, et al.

September 27, 2001

By:_

Jean C. Baker QUARLES & BRADY LLP 411 East Wisconsin Avenue Milwaukee, WI 53202 Reg. No.: 35,433 (414) 277-5709 APR 1 2 2004

Form PTO-1449 (Rev. 2-88)

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ATTY. DOCKET NO. 310307.90061 APPLICATION NO.
Divisional of
08/713,331

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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APPLICANT Rui Sousa, <u>et al</u>.

FILING DATE GROUP September 13, 1996 1809

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				eriophage SP6-sp 8, 1982.				≥,
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Form PTO-1449 (Rev. 2-88)

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of

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANT Rui Sousa, <u>et al</u>.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Continuation Of:

Applicants:

Rui Sousa, et al.

Serial No.:

09/100,803

Filed:

June 19, 1998

Title:

METHODS FOR USING MUTANT RNA

POLYMERASES WITH REDUCED

DISCRIMINATION BETWEEN NON-CANONICAL

AND CANONICAL NUCLEOSIDE

TRIPHOSPHATES

Art Unit:

1655

Examiner:

A. Chakrabarti

Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. 1.98, Applicants in the above-identified patent application wish to bring to the attention of the Examiner for consideration in connection with the examination on the merits of this patent application. As this information duplicates information presented in related case Serial No. 08/713,331, Applicants have not provided copies of the documents.

U.S. Patents

Mullis, et al.; 4,683,195; July 28, 1987.

Other Document

- "Probe Amplifier System Based on Chimeric Cycling Oligonucleotides," <u>Biotechniques</u> 9(2):142-146, 1990.
- W.M. Barnes, "DNA Sequencing by Partial Ribosubstitution," <u>J. Mol. Biol.</u> 119:83-99, 1978.
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- D.A. Kostyuk, <u>et al</u>., "Mutants of T7 RNA polymerase that are able to synthesize both RNA and DNA," <u>FEBS</u>
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- R. Sousa and R. Padilla, "A mutant T7 RNA polymerase as a DNA polymerase," EMBO J. 14(18):4609-4621, 1995.
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A. Wolfgang, <u>et al.</u>, "Kinetic characterization of ribonuclease-resistant 2'-modified hammerhead ribozymes,"

<u>Science</u> 253:314-317, 1991.

No fees are believed necessary to enter this statement. However, if any fees are necessary please charge Deposit Account 17-0055.

Respectfully submitted,
Rui Sousa, et al.

August 21, 2000

By:

Jean C. Baker

QUARLES & BRADY LLP

411 East Wisconsin Avenue
Milwaukee, WI 53202

Reg. No.: 35,433

(414) 277-5709

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ATTY, DOCKET NO. 310307.90061

FILING DATE

NAME

U.S. PATENT DOCUMENTS

Mullis, et al.

APPLICATION	NO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

DOCUMENT NUMBER

4,683,195

EXAMINER'S

Rui Sousa, <u>et al</u>.

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hereby certify that this correspondence is being deposited with the United States Postal Services on the date set forth below as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Signature

and Deposit:____

Attorney of Record

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Rui Sousa, et al.

Serial No.:

08/713,331

Filed:

September 13, 1996

Title:

METHODS FOR USING MUTANT RNA

POLYMERASES WITH REDUCED

DISCRIMINATION BETWEEN NON-CANONICAL

AND CANONICAL NUCLEOSIDE

TRIPHOSPHATES

Art Unit:

1809

Examiner:

A. Nelson

Assistant Commissioner for Patents

Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. 1.98, enclosed herewith are documents which the Applicants in the above-identified patent application wish to bring to the attention of the Examiner for consideration in connection with the examination on the merits of this patent application.

Other Document

"Probe Amplifier System Based on Chimeric Cycling Oligonucleotides," <u>Biotechniques</u> 9(2):142-146, 1990.

W.M. Barnes, "DNA Sequencing by Partial Ribosubstitution," <u>J. Mol. Biol.</u> 119:83-99, 1978.

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- R. Sousa and R. Padilla, "A mutant T7 RNA polymerase as a DNA polymerase," <u>EMBO J.</u> 14(18):4609-4621, 1995.

The enclosed Fee Transmittal directs any fees that are deemed necessary to be charged to deposit account 17-0055.

Respectfully submitted,
Rui Sousa, et al.

August 28, 1997

By:

Jean C. Baker

QUARLES & BRADY

411 East Wisconsin Avenue
Milwaukee, WI 53202

Reg. No.: 35,433

(414) 277-5709

Form PTO-1449 (Rev. 2-88)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 310307.90061 of 2
APPLICATION NO.
08/713,331

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANT Rui Sousa, <u>et al</u>.

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EXAMINER DATE CONSIDERED

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EXAMINER DATE CONSIDERED

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO				Complete if Known			
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STATEMENT BY APPLICANT				First Named Inventor	Rui Sousa		
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	(Use as many sh	eets as n	ecessary)	Examiner Name			
Sheet	2	of	3	Attorney Docket Number	310307.90240		

		OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS	
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